

**ATTENTION   ATTENTION   ATTENTION**

**Method of Refund:**

- ACH/EFT
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- Deposit Account # 50-0801
- Treasury Check

**Patent/TM/App/Serial #**

10/604,341

**Program Area**

publishing

**Date Processed**

8/22/005

**ATTENTION   ATTENTION   ATTENTION**



10:21 10:21  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yi-Nan Chen

Appl. No. : 10/604,341

Filing Date: 07/14/2003

Examiner : KENNEDY, JENNIFER Art Unit : 2812

Docket No.: NTCPO010USA M

Title: METHOD FOR FABRICATING ASYMMETRIC INNER  
STRUCTURE IN CONTACTS OR TRENCHES

To : Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 03/05/2004 (Attachment 1). And the publication fee \$300 was deducted from the deposit account no. 50-0801 on 03/12/2004 (Attachment 1). But the above-identified application was issued as a patent on 06/15/2004 without publication process (Attachment 2).

Adjustment date: 08/24/2005 RCLEMONS  
03/12/2004 MBIZUNE2 00000207 500001 10604  
01 FC:1504 300.00 CR

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-0801 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

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Sincerely yours,

*Winston Hsu*

Date : JUL 08 2005

Winston Hsu, Patent Agent No. 41,526  
P.O. Box 506, Merrifield, Virginia 22116, U.S.A  
Voice Mail: 302-729-1562  
Facsimile : 806-498-6673  
e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

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## Deposit Account Statement

第3頁，共7頁

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03/12 478	10248746	NAUP0457USA	1501	\$1,330.00	\$28,066.92
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Attachment 1

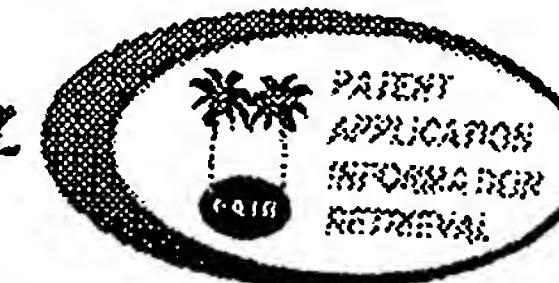
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## PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 7-7-2005::3:24:41 E.T.

### Search results for application number: 10/604,341

Application Number:	10/604,341	Customer Number:	27765
Filing or 371(c) Date:	07-14-2003	Status:	Patented Case
Application Type:	Utility	Status Date:	05-27-2004
Examiner Name:	KENNEDY, JENNIFER M	Location:	ELECTRONIC
Group Art Unit:	2812	Location Date:	-
Confirmation Number:	1340	Earliest Publication No:	-
Attorney Docket Number:	NTCP0010USA	Earliest Publication Date:	-
Class/ Sub-Class:	438/597	Patent Number:	6,750,116
First Named Inventor:	Yinan Chen, Taipei City, (TW)	Issue Date of Patent:	06-15-2004
Title Of Invention:	METHOD FOR FABRICATING ASYMMETRIC INNER STRUCTURE IN CONTACTS OR TRENCHES		

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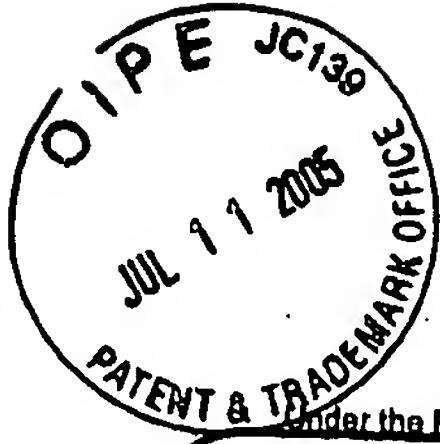
#### File History

Date	Contents Description
07-06-2004	Recordation of Patent Grant Mailed
05-27-2004	Issue Notification Mailed
06-15-2004	Patent Issue Date Used in PTA Calculation
05-18-2004	Receipt into Pubs
05-14-2004	Workflow - Query Request - Finish
05-05-2004	Mail Examiner's Amendment

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05-03-2004	Examiner's Amendment Communication
04-13-2004	Workflow - Query Request - Begin
04-09-2004	Receipt into Pubs
04-08-2004	Application Is Considered Ready for Issue
07-14-2003	Workflow - Drawings Finished
03-09-2004	Issue Fee Payment Verified
03-31-2004	Receipt into Pubs
03-09-2004	Issue Fee Payment Received
02-19-2004	Receipt into Pubs
02-18-2004	Workflow - File Sent to Contractor
01-20-2004	Mail Notice of Allowance
01-12-2004	IFW TSS Processing by Tech Center Complete
01-12-2004	Case Docketed to Examiner in GAU
01-12-2004	Issue Revision Completed
01-12-2004	Notice of Allowance Data Verification Completed
01-12-2004	Case Docketed to Examiner in GAU
01-12-2004	Notice of Allowability
12-18-2003	Case Docketed to Examiner in GAU
12-05-2003	Application Return from OIPE
12-05-2003	Application Return TO OIPE
12-05-2003	Application Return from OIPE
12-05-2003	Application Is Now Complete
11-21-2003	Pre-Exam Office Action Withdrawn
11-21-2003	Application Return TO OIPE
11-21-2003	Application Dispatched from OIPE
11-21-2003	Application Is Now Complete
10-14-2003	Additional Application Filing Fees
10-14-2003	An assertion that the nature of the legal entities having property rights to the patent authorizes t
10-14-2003	A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic
08-13-2003	Notice Mailed—Application Incomplete—Filing Date Assigned
07-21-2003	Cleared by OIPE CSR
07-15-2003	IFW Scan & PACR Auto Security Review
07-14-2003	Initial Exam Team nn



2007-07-12 11:11:21

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# 507

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number	10/604,341
Filing Date	07/14/2003
First Named Inventor	Yi-Nan Chen
Art Unit	2812
Examiner Name	KENNEDY, JENNIFER M
Attorney Docket Number	NTCP0010USA

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. A copy of the deposit account statement
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Request for Refund	2. A copy of the application data and transaction history
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> Landscape Table on CD
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<p>Remarks</p> <p>Request for a refund of publication fee</p>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corp.		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	JUL 08 2005	Reg. No.	41,526

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Typed or printed name		Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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